

Plan Advisor Comparison Chart



Not all plan advisors are created equal. Use the chart below to assess whether your plan advisor offers one, two, three or four star services.

		Fisher Solution			
		★	★★	★★★	★★★★
Product Set	Supports a wide variety of plan types/features	✓	✓	✓	✓
Legal Protection	Acts directly as a 3(38) Investment Manager		✓	✓	✓
Incentive Structure	Commits in writing to receive no form of revenue sharing		✓	✓	✓
Expertise	Is a registered Investment Plan Adviser with the SEC with no disciplinary actions		✓	✓	✓
	Manages more than 100 small business plans			✓	✓
Investment Solutions	Offers a wide variety of investment solutions including self-directed brokerage accounts			✓	✓
	Offers open architecture and institutional class funds			✓	✓
Simplified Plan Administration and Vendor Management	Provides a dedicated single-point-of-contact for all plan servicing needs				✓
	Provides ongoing plan management support, including help with payroll, compliance testing, plan benchmarking and creation of a fiduciary audit file				✓
Participant Service	Provides proactive one-on-one guidance to plan participants during enrollment and on an ongoing basis				✓
	Provides language support in English and Spanish, and translation services for most other languages				✓
	Commits in writing to a service guarantee that includes a fee waiver				✓